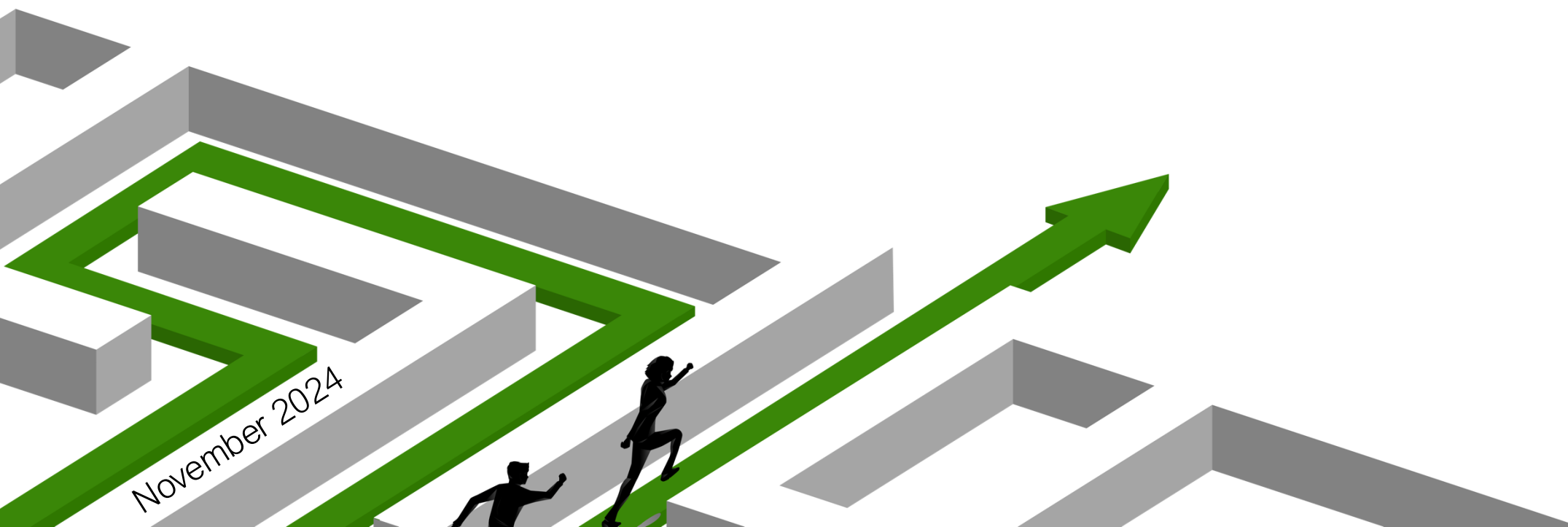


Manager of collective assets Licence



Manager of Collective Assets

At a glance

A licence that covers:

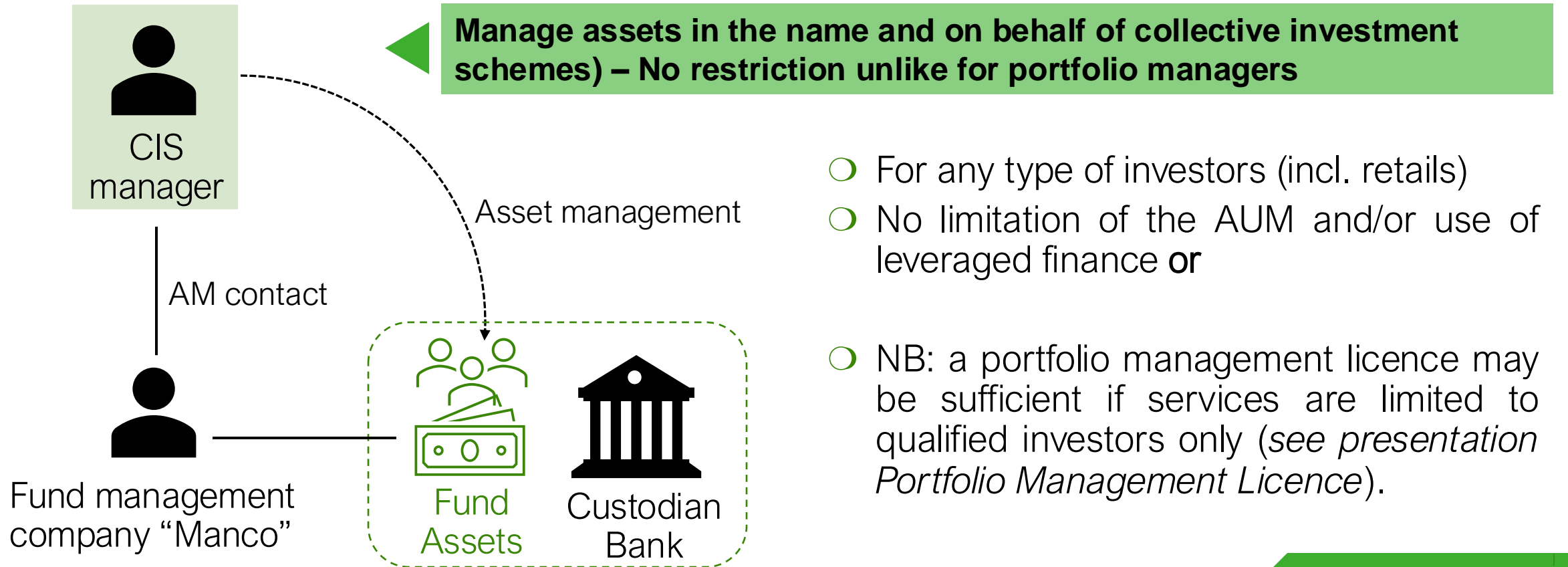
- **Portfolio management**
- **Advisory**
- **Fund management**
- **Management of pension funds**
- **AMCs strategy management**

The optimal setup to manage collective investment schemes

- FINMA Authorization
- Regulated under the Financial Institutions Act (FinIA)
- Often called “fund asset manager licence”
- Around 350+ managers of collective assets licensed (as of Nov. 2024)
- Paperless application – completely digital (EHP platform)
- Most application documents can be submitted in English

Authorized services

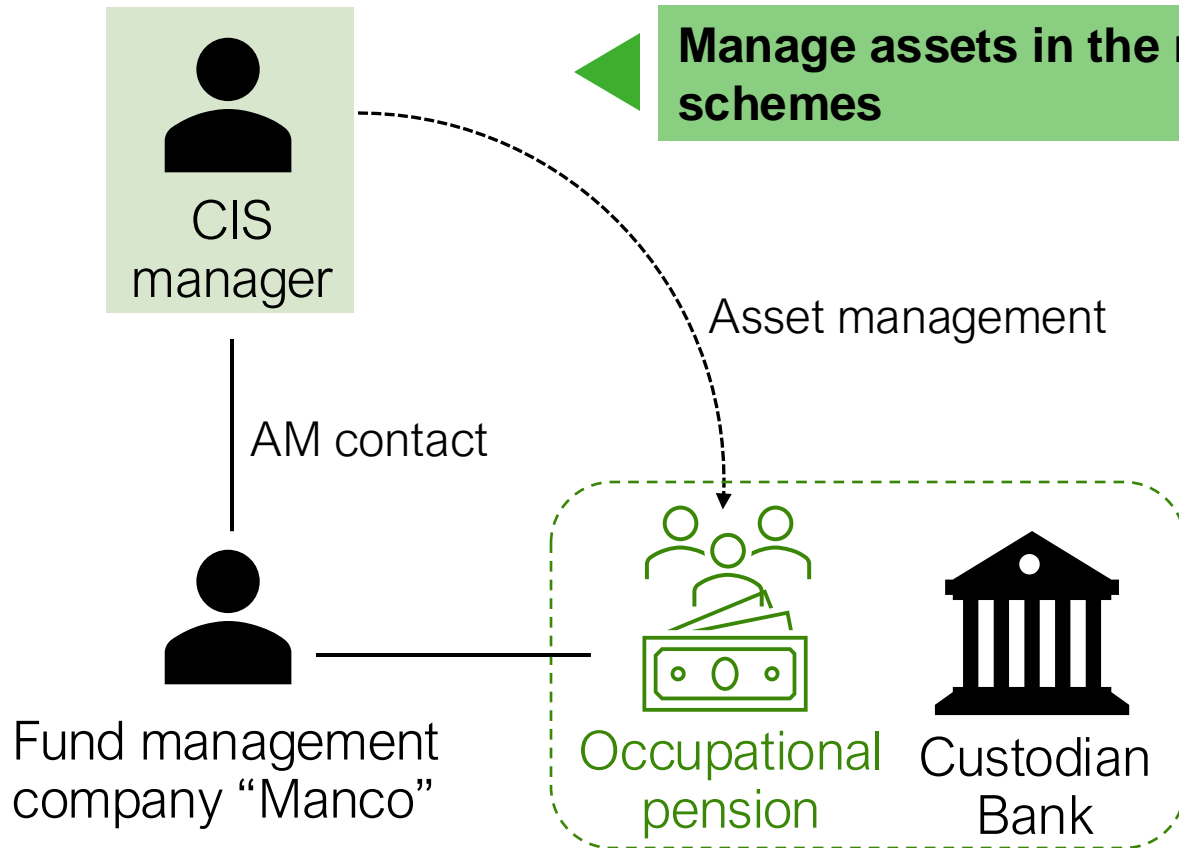
Management of Collective Investment Schemes (*unrestricted*)



- For any type of investors (incl. retails)
- No limitation of the AUM and/or use of leveraged finance **or**
- NB: a portfolio management licence may be sufficient if services are limited to qualified investors only (*see presentation Portfolio Management Licence*).

Authorized services

Management of Collective Investment Schemes (*under de minimis*)

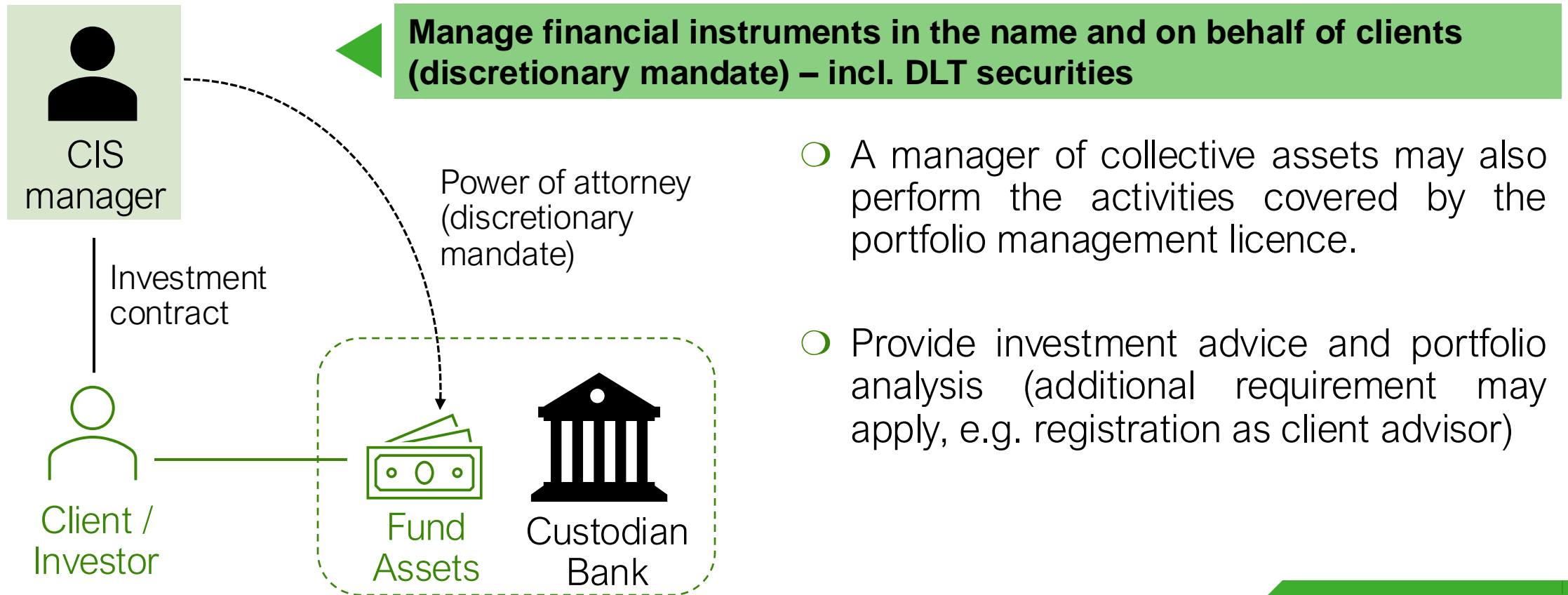


Manage assets in the name and on behalf of occupational pension schemes

- No restrictions on investment amounts or types of investors (including retail investors).
- NB: a portfolio management licence may be sufficient if limited to occupational pension schemes of CHF 100mio max and that manage no more than 20% of assets in the mandatory segment (see *presentation Portfolio Management Licence*).

Authorized services

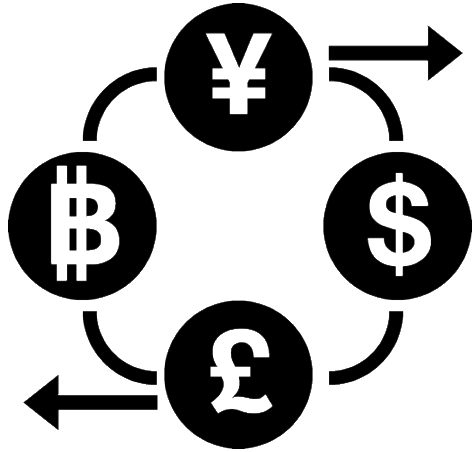
Portfolio Management & Advisory in addition



- A manager of collective assets may also perform the activities covered by the portfolio management licence.
- Provide investment advice and portfolio analysis (additional requirement may apply, e.g. registration as client advisor)

Authorized services

Offer additional financial & non-financial services



Operate as a financial intermediary and a portfolio manager in addition

- Fiat currencies & crypto-currency exchange
- Most of the activities that can be performed with a SRO membership (*SRO presentation upon request*)
- All activities that can be performed with a portfolio management licence (*Portfolio management presentation upon request*)

Provide Tech solutions & Platform services in addition

- Provision of asset management or AML/Risk platform

Manage AMCs

- Act as a strategy manager of Actively Managed Certificates

Switzerland as an advantage



Benefit from Switzerland's leadership in asset management, with approximately CHF 5.1 trillion AUM.

Embrace innovation through a focus on digitalization and ESG investments to enhance service offerings.

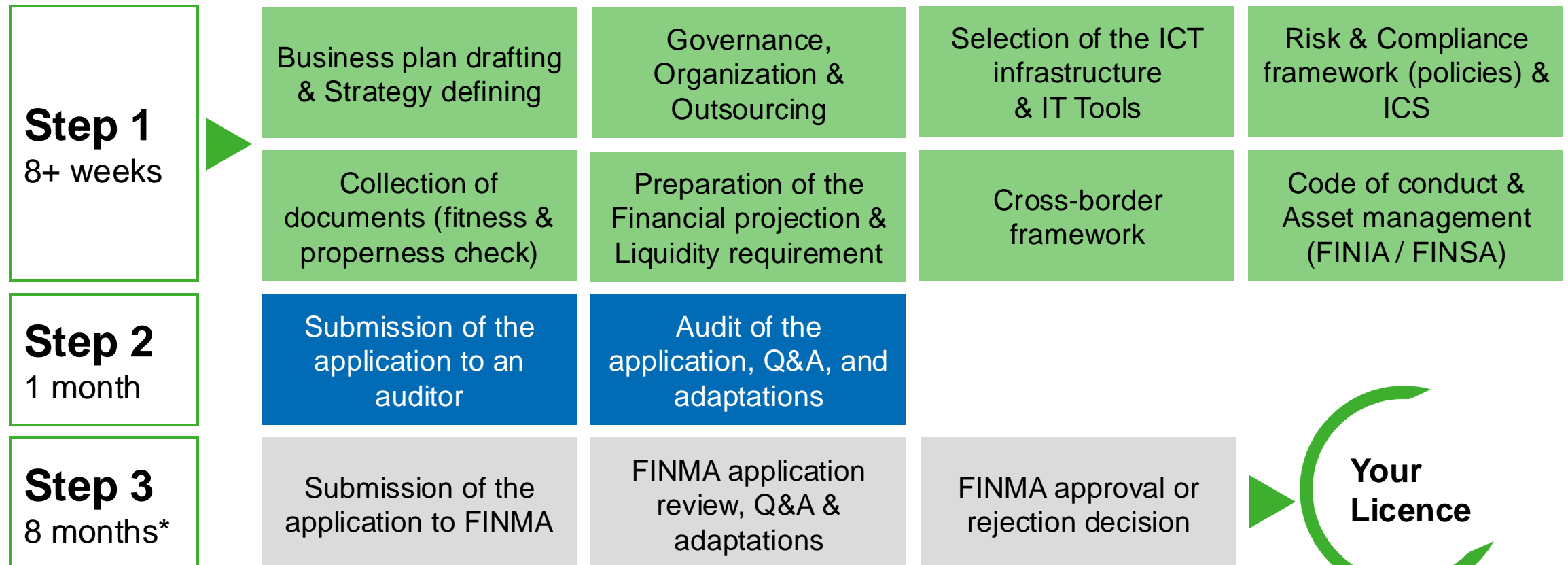
Leverage Switzerland's top ranking as the most competitive wealth management center globally, attracting high net worth individuals.

Capitalize on a robust market share, holding around 25% of the global wealth management market.

Operate in a low-tax jurisdiction (11.85% corporate tax in Zug)

Licensing process

Key elements & Timeline



* Depends on the complexity of the application (e.g. AUM, risks involved, cross-border activities, etc..)



Excellence with SynHedge

“SynHedge precisely stands for synergy and smart hedging in finance. This is what we do best.”

Loïc Staub, Attorney at-law
Founder & Managing Partner

Deep understanding of the Swiss regulatory landscape

Unique set of documents that have received pre-approval in previous application and are subject to ongoing improvements and updates

A set of policies and a risk management policy that can be directly implemented in a dedicated software

Extensive network enabling us to fulfill all your requests related to the application and also after the licencing process

Practical expertise that has been thoroughly battle-tested and focuses on excellence and delivering impactful solutions

Ready to dive deeper?



- How much does the licensing process cost?
- How long does it actually take to get the licence?
- How many managers are required?
- When are directors required to be engaged?
- Can we operate cross-border?
- What is the minimum capital requirement?
- What are the requirements to qualify as manager?

Contact us

Let's move forward

The secret to getting ahead is getting started



Book a TEAMS meeting

<https://calendly.com/contact-sh/synhedge>



Send us an e-mail

info@synhedge.com



Fill out the contact form

<https://synhedge.com>



Chat on Telegram

@loicsb



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