

# Manager Of Collective Assets Licence

# Licence As Manager of Collective Assets

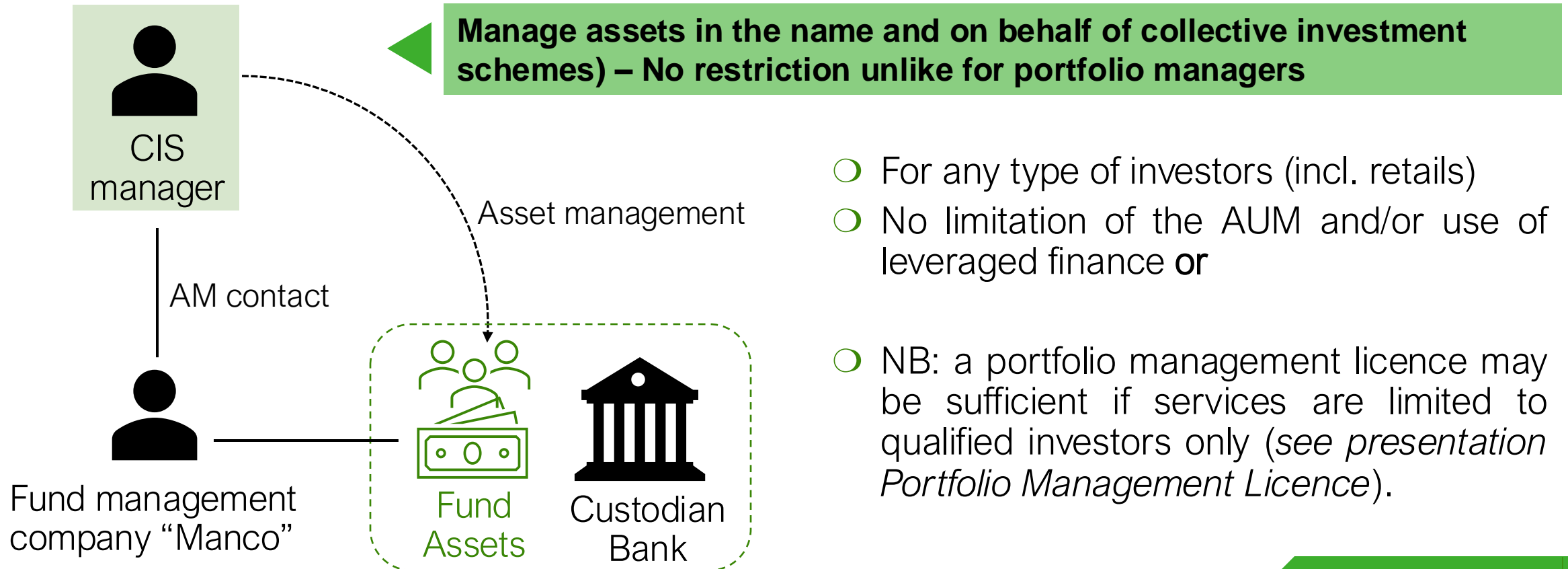
## A licence that covers:

- **Portfolio management**
- **Advisory**
- **Fund management**
- **Management of pension funds**
- **AMCs strategy management**

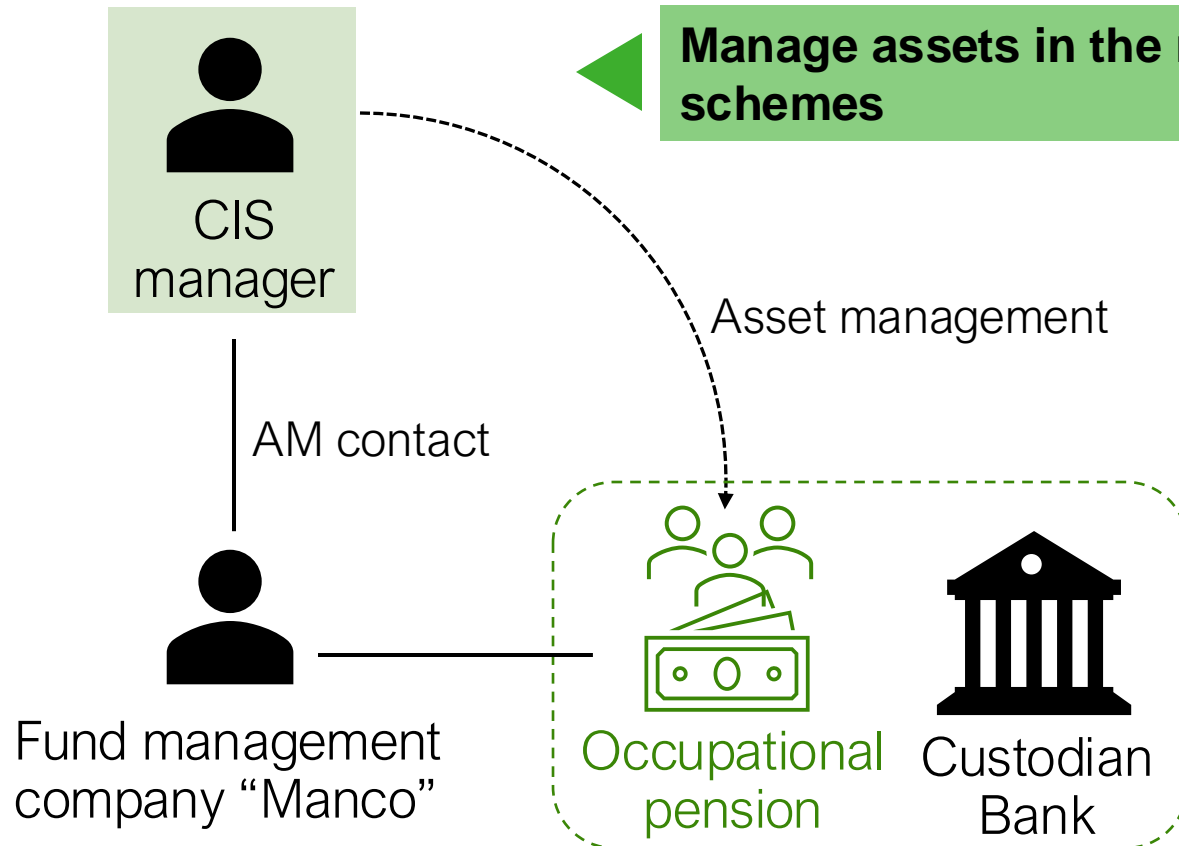
## The optimal setup to manage collective investment schemes

- FINMA Authorization
- Regulated under the Financial Institutions Act (FinIA)
- Often called “fund asset manager licence”
- Around 350+ managers of collective assets licensed (as of Nov. 2024)
- Paperless application – completely digital (EHP platform)
- Most application documents can be submitted in English

# Management of Collective Investment Schemes (*unrestricted*)

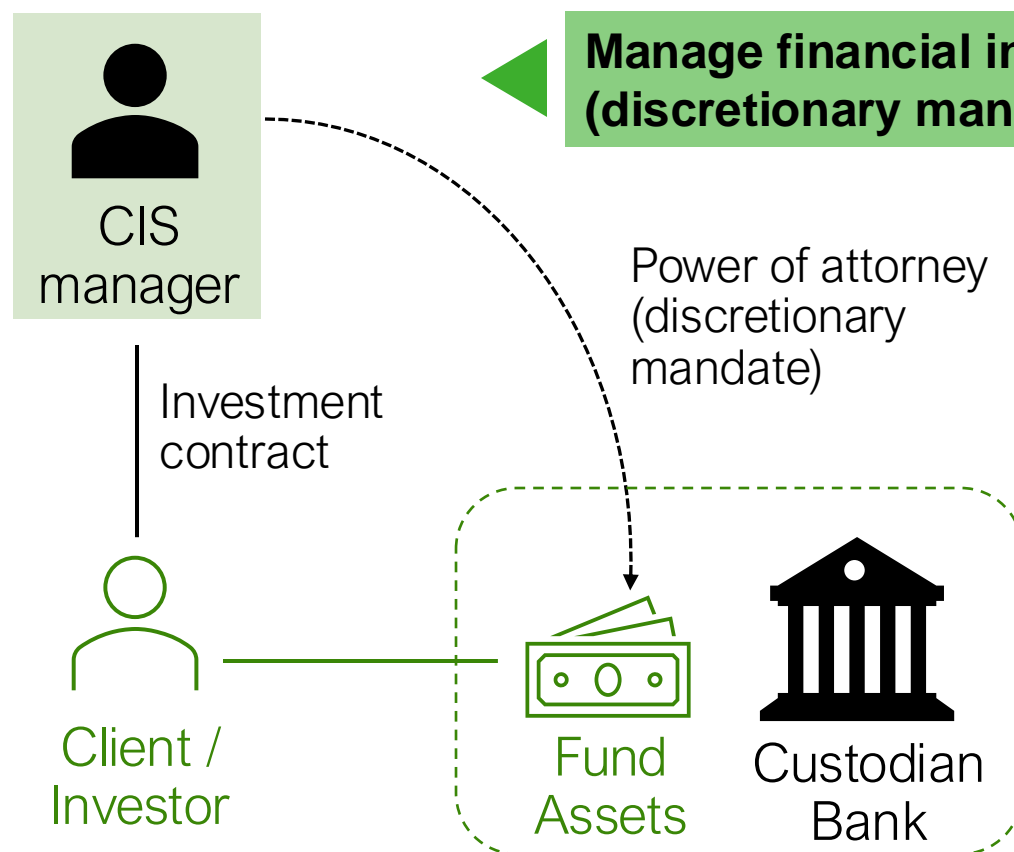


# Management of Pension Schemes (*unrestricted*)



- No restrictions on investment amounts or types of investors (including retail investors).
- NB: a portfolio management licence may be sufficient if limited to occupational pension schemes of CHF 100mio max and that manage no more than 20% of assets in the mandatory segment (see *presentation Portfolio Management Licence*).

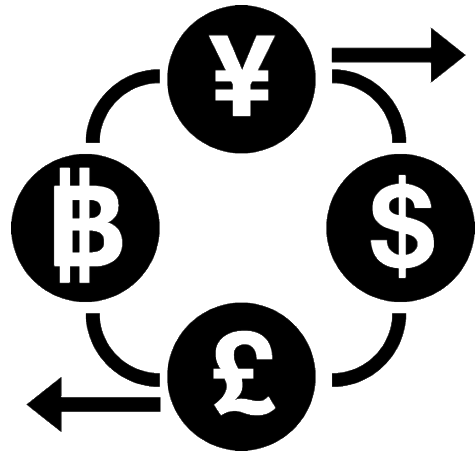
## Portfolio Management & Advisory in addition



**Manage financial instruments in the name and on behalf of clients (discretionary mandate) – incl. DLT securities**

- A manager of collective assets may also perform the activities covered by the portfolio management licence.
- Provide investment advice and portfolio analysis (additional requirement may apply, e.g. registration as client advisor)

## Offer Additional Financial & Non-financial Services



### ◀ Operate as a financial intermediary and a portfolio manager in addition

- Fiat currencies & crypto-currency exchange
- Most of the activities that can be performed with a SRO membership (*SRO presentation upon request*)
- All activities that can be performed with a portfolio management licence (*Portfolio management presentation upon request*)

### ◀ Provide Tech solutions & Platform services in addition

- Provision of asset management or AML/Risk platform

### ◀ Manage AMCs

- Act as a strategy manager of Actively Managed Certificates

# Switzerland as an advantage



**Benefit from Switzerland's leadership in asset management, with approximately CHF 5.1 trillion AUM.**

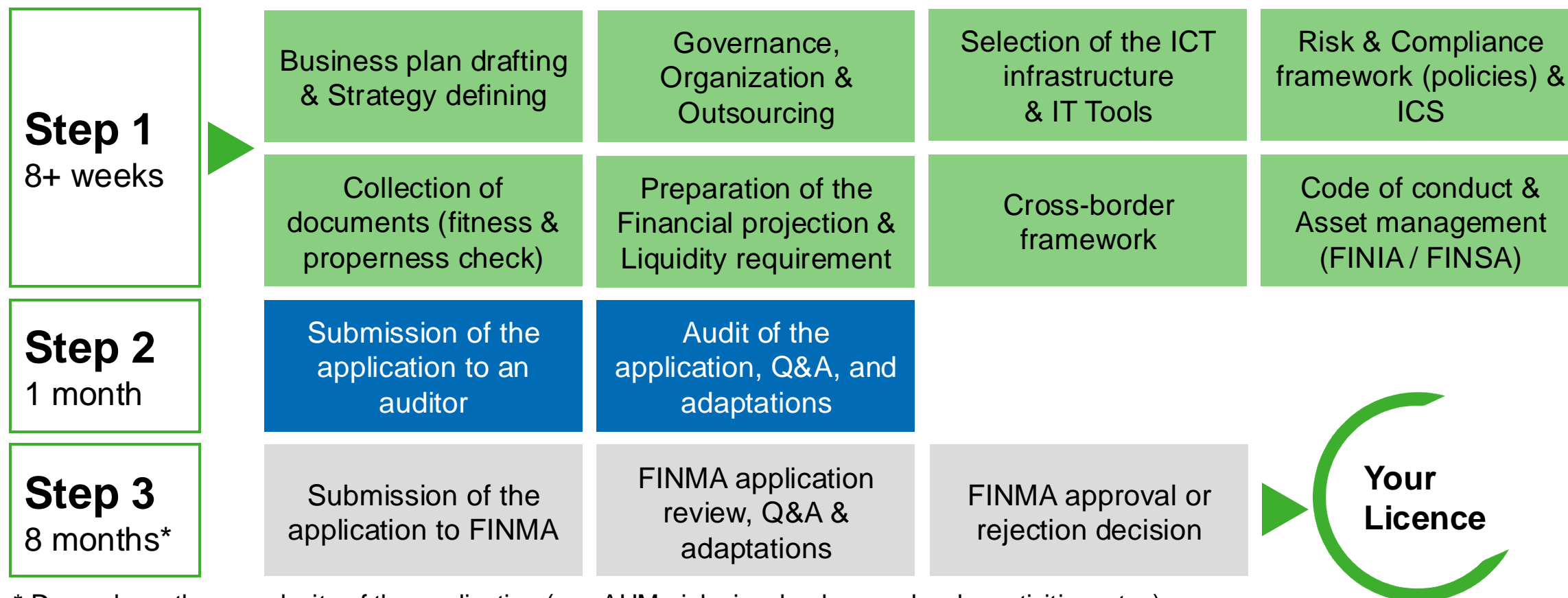
**Embrace innovation through a focus on digitalization and ESG investments to enhance service offerings.**

**Leverage Switzerland's top ranking as the most competitive wealth management center globally, attracting high net worth individuals.**

**Capitalize on a robust market share, holding around 25% of the global wealth management market.**

**Operate in a low-tax jurisdiction (11.85% corporate tax in Zug)**

# Licensing Process Overview



\* Depends on the complexity of the application (e.g. AUM, risks involved, cross-border activities, etc..)

# Excellence with SynHedge

***“At SynHedge, we empower financial companies to transform legal & operational complexities into clear solutions – enabling them to move forward with confidence and speed.”***

Loïc Staub, Attorney at-law  
Founder & Managing Partner

**Deep understanding of the Swiss regulatory landscape**

**Unique set of documents that have received pre-approval in previous application and are subject to ongoing improvements and updates**

**A set of policies and a risk management policy that can be directly implemented in a dedicated software**

**Extensive network enabling us to fulfill all your requests related to the application and also after the licencing process**

## Let's move forward



Book a TEAMS meeting

<https://calendly.com/contact-sh/synhedge>



Send us an e-mail

[info@synhedge.com](mailto:info@synhedge.com)



Fill out the contact form

<https://synhedge.com>

**Contact us**



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