

# The Portfolio Management Licence

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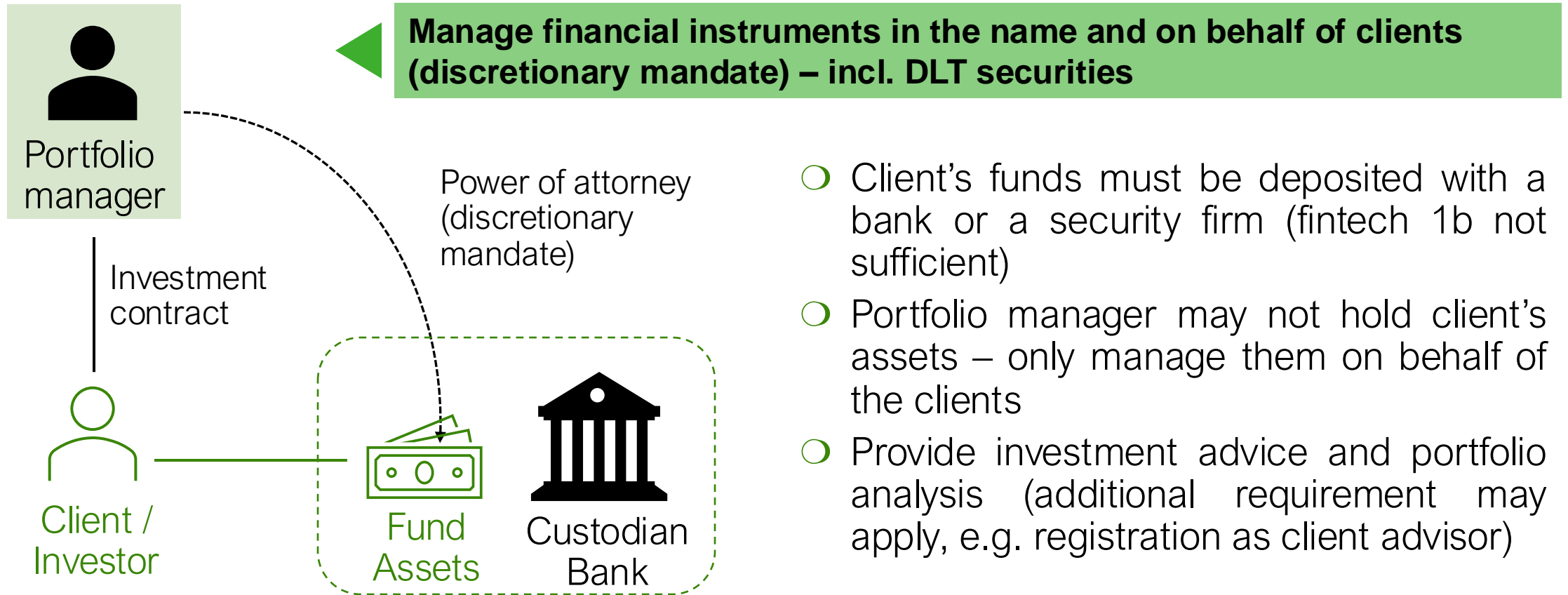
## A licence that covers:

- **Portfolio management**
- **Advisory**
- **Fund management under de minimis**
- **Management of pension funds (limited)**
- **AMCs strategy management**

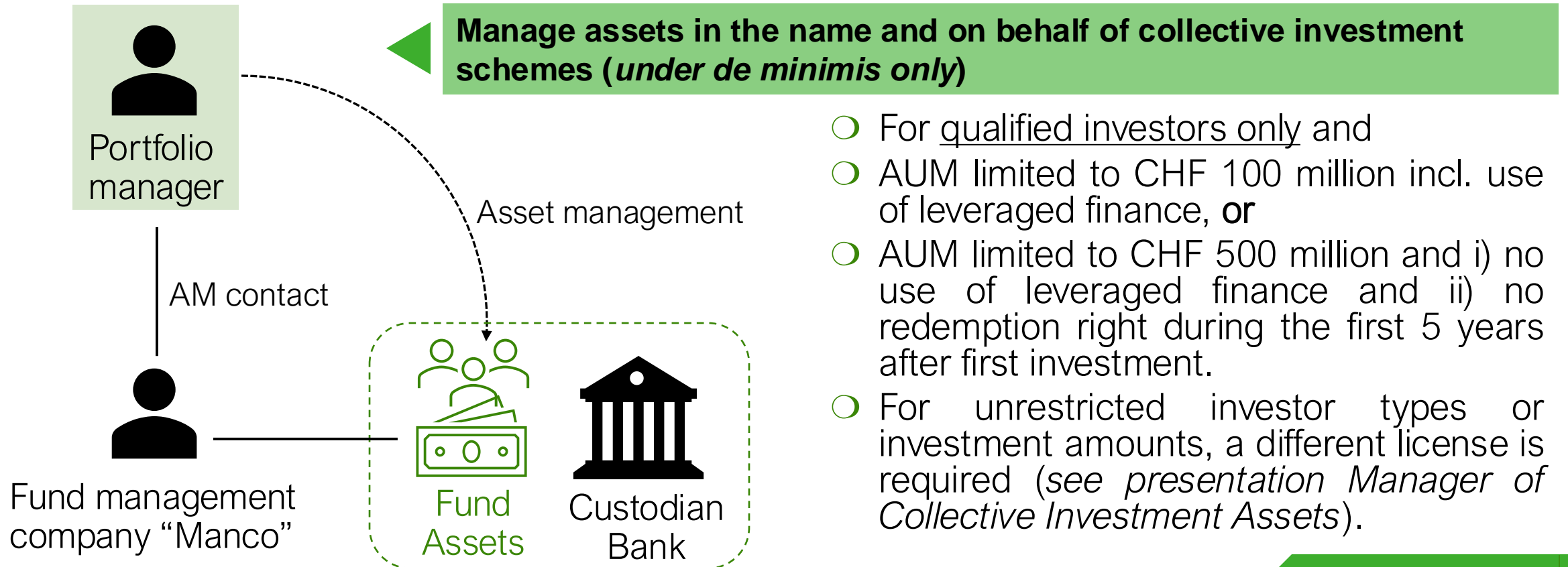
## The lightest setup to manage clients' funds

- Dual authorization issued by FINMA in conjunction with affiliation to a Supervisory Organization (SO).
- Regulated under the Financial Institutions Act (FinIA)
- Often called "External asset manager licence"
- +1400 portfolio management licence granted (as of 11.2024)
- Paperless application – completely digital (EHP platform)
- Most application documents can be submitted in English

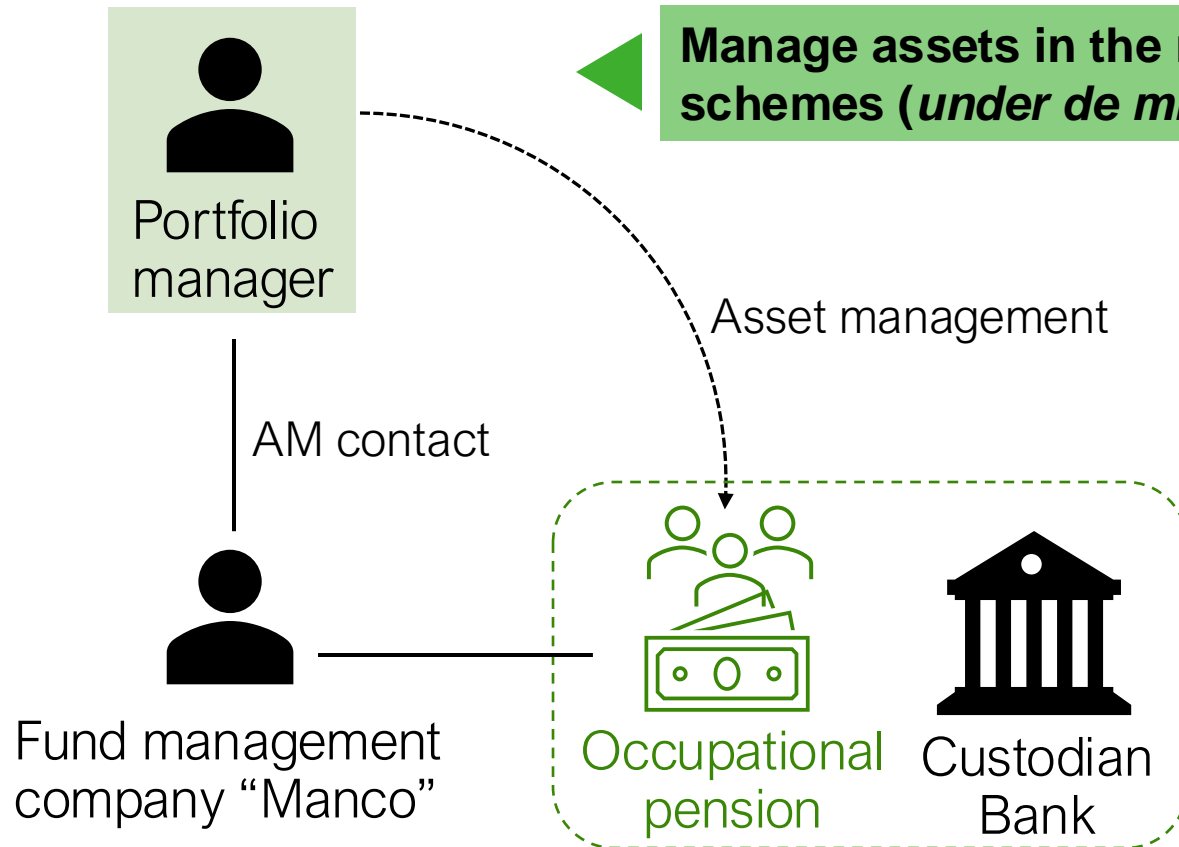
# Portfolio Management & Advisory



# Management of Collective Investment Schemes (*under de minimis*)



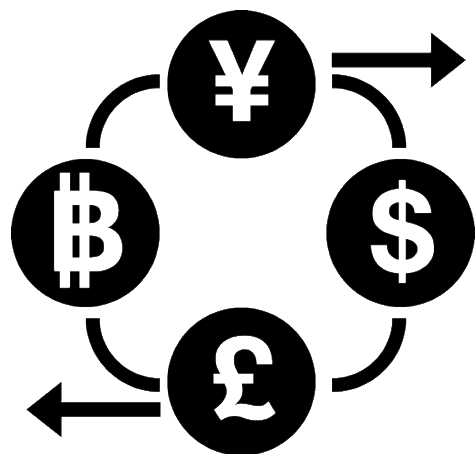
# Management of Pension Schemes (*under de minimis*)



**Manage assets in the name and on behalf of occupational pension schemes (*under de minimis only*)**

- Limited to occupational pension schemes of CHF 100 million, and
- Manage no more than 20% of the assets of an individual occupational pension scheme in the mandatory segment.
- For unrestricted investor types or investment amounts, a different license is required (see *presentation Manager of Collective Investment Assets*).

## Offer Additional Financial & Non-financial Services



### ◀ Operate as a financial intermediary in addition

- Fiat currencies & crypto-currency exchange
- Trade in commodities and precious metals
- Most of the activities that can be performed with a SRO membership (*SRO presentation upon request*)

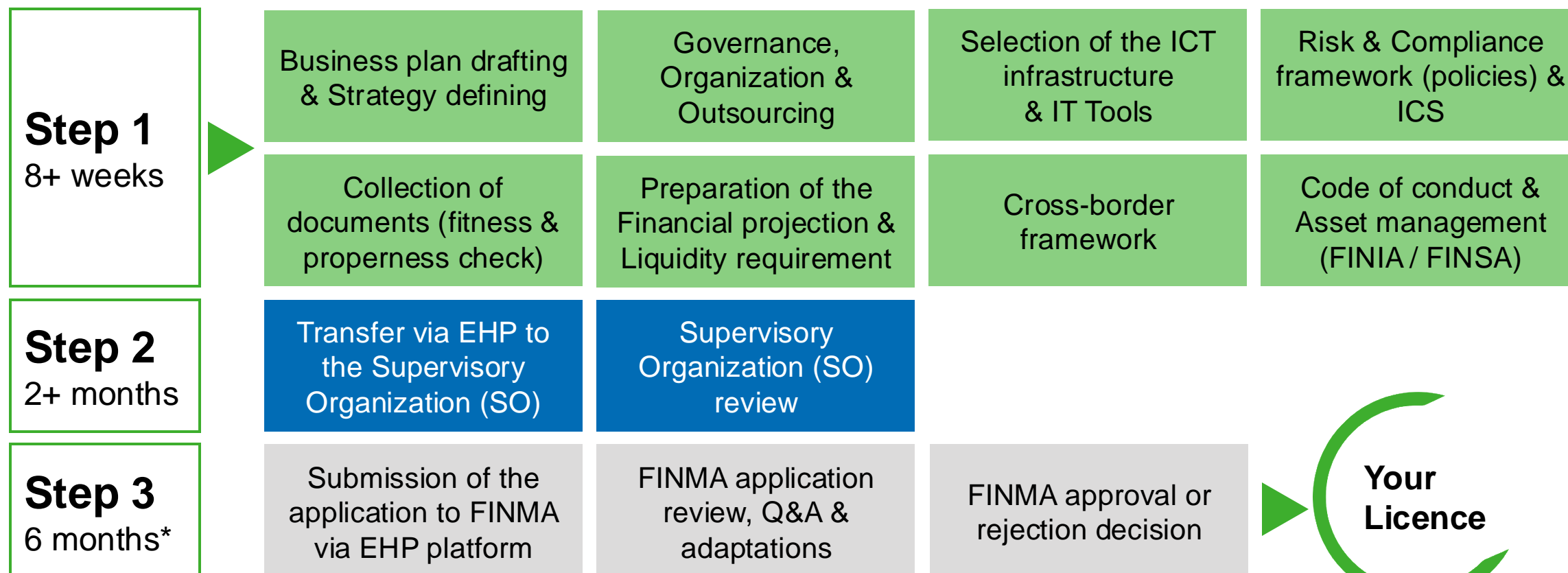
### ◀ Provide Tech solutions & Platform services in addition

- Provision of asset management or AML/Risk platform

### ◀ Manage AMCs

- Act as a strategy manager of Actively Managed Certificates

# Licensing Process Overview



\* Depends on the complexity of the application (5.5 months in average according to FINMA statistics)

# Switzerland as an advantage



**Benefit from Switzerland's leadership in asset management, with approximately CHF 5.1 trillion AUM.**

**Embrace innovation through a focus on digitalization and ESG investments to enhance service offerings.**

**Leverage Switzerland's top ranking as the most competitive wealth management center globally, attracting high net worth individuals.**

**Capitalize on a robust market share, holding around 25% of the global wealth management market.**

**Operate in a low-tax jurisdiction (11.85% corporate tax in Zug)**



# Excellence with SynHedge

***“At SynHedge, we empower financial companies to transform legal & operational complexities into clear solutions – enabling them to move forward with confidence and speed.”***

Loïc Staub, Attorney at-law  
Founder & Managing Partner

**Deep understanding of the Swiss regulatory landscape**

**Unique set of documents that have received pre-approval in previous application and are subject to ongoing improvements and updates**

**A set of policies and a risk management policy that can be directly implemented in a dedicated software**

**Extensive network enabling us to fulfill all your requests related to the application and also after the licencing process**

## Let's move forward



Book a TEAMS meeting

<https://calendly.com/contact-sh/synhedge>



Send us an e-mail

[info@synhedge.com](mailto:info@synhedge.com)



Fill out the contact form

<https://synhedge.com>

**Contact us**



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